

Working Definitions for Survey Questions

Q#	Indicator	Principle	Parameter(s)	Survey Question	Definition	Source
1	Publication of country strategy	Transparency	Policy objectives	Does [donor] publish the country strategy paper for [recipient]?	A country strategy paper sets out the organisation's planned approach and activities in the recipient country. It consists of a detailed document, rather than just a paragraph on the organisation's website.	PWYF
2	Donor practices	Accountability	Shared agenda	What are the main modalities used in [donor]'s operations in [recipient]?	Modalities refer to the different instruments applied in development / South-South cooperation, e.g. technical cooperation, financial cooperation (budget support, investment projects, capacity building, sector-wide	Hertie
3	Mutual accountability protocols	Accountability	Dialogue and negotiation	Does [donor] have a policy/protocol on mutual accountability?	A protocol or policy consists in institutionalised processes of regular dialogue between the cooperation partners to	SIPA
4	Mutual accountability protocols	Accountability	Shared agenda	How are mutual accountability protocols determined and upheld between [recipient] and [donor]?	produce a common understanding and strategy for their activities, e.g. negotiation rounds, MoUs, roundtables, etc.	SIPA
5	Publication of aid allocation procedure(s)	Transparency	Policy decisions	Does [donor] publish aid allocation policies and procedures?	Aid allocation policies and procedures are the detailed policy and procedure documents by which the organisation chooses where to spend its resources, i.e. on which countries or themes rather than others. Relatively general documents or webpages outlining which countries, themes and institutions the agency will fund are accepted, as long as this is forward-looking and not wholly retrospective.	PWYF
6	Integration of local needs	Accountability	Shared agenda; dialogue and negotiation	Does [donor] use participatory mechanisms to involve local/national stakeholders in the formulation of its aid policy?	Participatory mechanisms are ways of gathering information and consulting with the cooperation beneficiaries directly, e.g. through consultations, roundtables, questionnaires, etc.	Paris Declaration (optional module)
7	Mechanisms beyond cooperation partners	Accountability	Dialogue and negotiation	Within the institutional framework, which participatory mechanisms have been put in place to involve the parliament, local government and/or non-state stakeholders in the formulation of [donor]'s aid policy?	Domestic participatory mechanisms refer to processes for the recipient to integrate views/needs of its population. It include consultations, roundtables, discussion, questionnaires, etc. which then feed into the recipient's aid policy.	Paris Declaration (recipient)
8	Disclosure of ODA figure on country level	Transparency	Policy decisions	How much total ODA was effectively disbursed in calendar year 2010? Which percentage was tied/untied?	ODA refers to development funds dispersed as defined by the OECD-DAC; figures could be gross or net ODA.	Hertie

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9	Publication of forward planning budget on country level	Transparency	Policy objectives	Does [donor] publish the total development budget for the next three years, as submitted to parliament?	The organisation's annual forward planning budget for assistance is the budget that the organisation will spend on this country for at least the next three years.	PWYF
10	Monitoring of implementation activities	Accountability	Monitoring framework	Does [donor] monitor the implementation of operations?	Monitoring is understood as specific mechanisms of data gathering and analysing during implementation, e.g. statistical data, progress reports, meeting minutes, etc.	Paris Declaration (recipient)
11	Publication of annual programme audit	Transparency and Accountability	Policy objectives; monitoring framework	Does [donor] publish its annual audit of its aid programs in [recipient]?	The organisation's annual audit of its activities is an official inspection of the accounts and activities of this organisation, typically by an independent body.	PWYF
12	Cooperation practices	Accountability	Dialogue and negotiation	Does donor have a mechanism to deal with disagreements with recipient?	Mechanisms are institutionalised procedures for dispute/conflict resolution between cooperation partners, which are applied in practice or at least known by both partners.	Hertie
13	Cooperation practices	Accountability	Enforcement	How are disagreements dealt with between donor and recipient?		